

## Client Funds Policy

Global Prime is committed to upholding the highest standard of ethical business practice and procedure. We are uncompromising in our pursuit of a transparent relationship with our clients.

### Client Funds Structure

Traditionally, client funds of this kind have been pooled in a central holding account and clients have been unable to claim a priority to monies owing out of that pool in the event that a 'Trigger Event' occurs. In response to this, Global Prime has developed a separate trust structure (Security Trust). This structure involves a legally separate trust account for funds relating to client transactions which is held with the Westpac Banking Corporation. If a 'Trigger Event' occurs, all funds in the Security Trust account will be held for the benefit of clients as beneficiaries, who will have priority over those funds.

A Trigger Event includes (i) an Insolvency Event occurring with respect to Trustee or Hedge Counterparty; or (ii) a breach of the agreement under the Security Trust that materially adversely affects the interests of clients as a class of beneficiaries.

Global Prime has expended considerable resources to ensure the security of client related funds and transparency in the way client funds are managed. We are proud to be able to offer this structure, which we believe is in the best interest of our clients.

### Transparent Trade Execution

Global Prime client transactions are matched with trades executed directly with the relevant exchange, eliminating the need for Global Prime to match trades itself, a process known as market making. Furthermore, Global Prime does not engage in the practice of running a book, ie, trading with or against our clients. This ensures clients are always trading at the market determined price.

These measures have been put in place to safeguard the security of our clients' positions and the integrity of Global Prime. If you have any further questions regarding the client funds structure or trade execution policies, please do not hesitate to contact us.

Global Prime Pty Ltd  
Level 10, 2 Bligh Street  
SYDNEY NSW 2000  
[info@globalprime.com.au](mailto:info@globalprime.com.au)  
+612 8277 6600